

Fig. 1

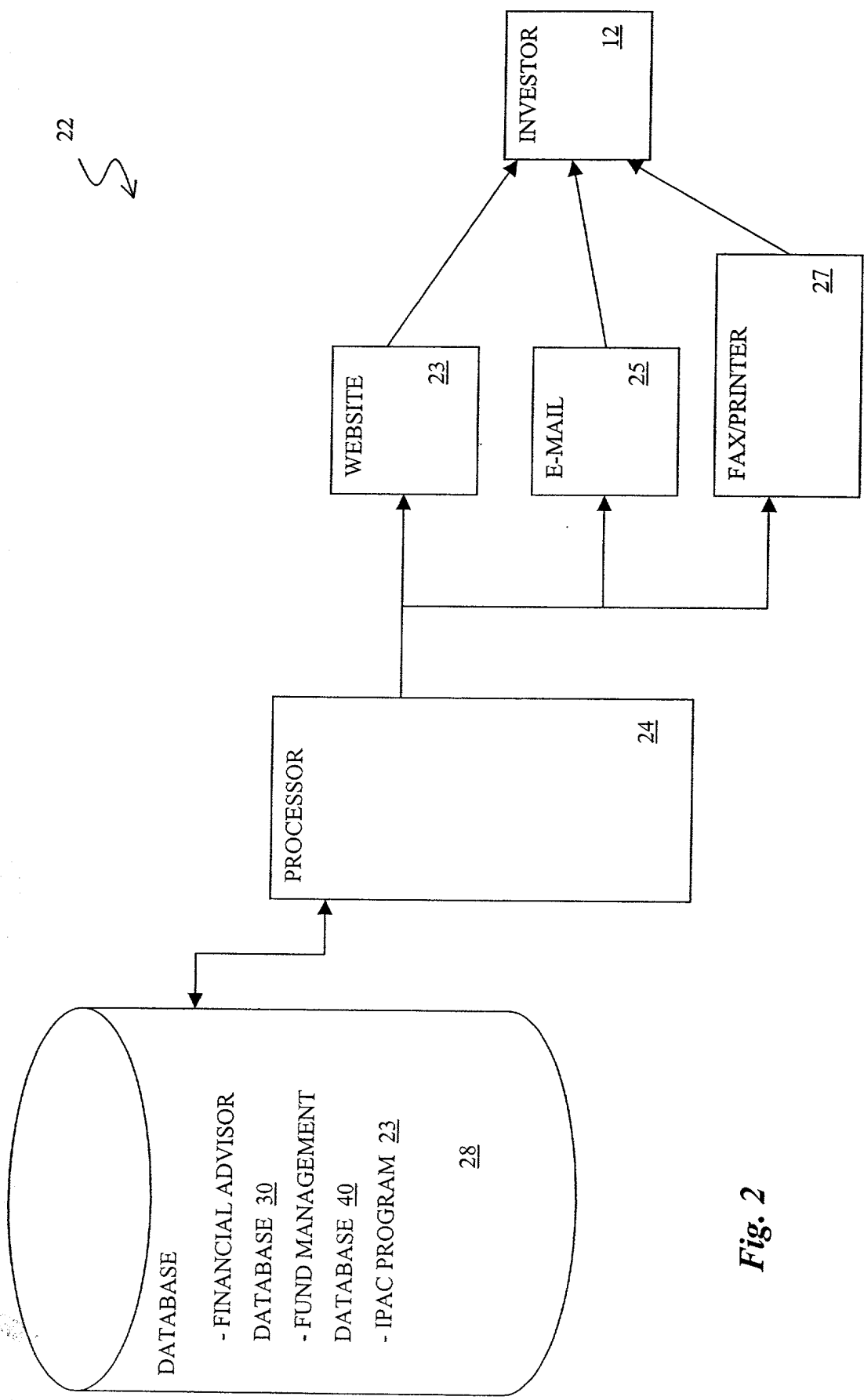


Fig. 2

NAME	INVESTOR INFORMATION	INVESTOR GOALS & RISK PROFILE	CAPITAL STATUS	INITIAL INVESTMENT VEHICLE GROSS INVESTMENTS	INITIAL INVESTMENT VEHICLE BY PERCENTAGE	UPDATED INVESTMENT VEHICLE GROSS INVESTMENTS	UPDATED INVESTMENT VEHICLE BY PERCENTAGES
JOHN SMITH	ADDRESS TELEPHONE NUMBER AGE FAMILY STATUS OCCUPATION	LONG-TERM, HIGH-RETURN INVESTMENTS; HIGH-RISK PROFILE	CALLED; COMMITTED; DISTRIBUTED	VEHICLE A: \$1M VEHICLE B: \$1M SECURITIES: \$1M FIXED INCOME: \$2M	VEHICLE A: 20% VEHICLE B: 20% SECURITIES: 20% FIXED INCOME: 40%	VEHICLE A: \$0M VEHICLE B: \$1M SECURITIES: \$1M FIXED INCOME: \$2M	VEHICLE A: 0% VEHICLE B: 25% SECURITIES: 25% FIXED INCOME: 50%
BOB KLINE	ADDRESS TELEPHONE NUMBER AGE FAMILY STATUS OCCUPATION	SHORT-TERM, LOW-RETURN INVESTMENTS FOR RETIREMENT; LOW-RISK PROFILE	CALLED; COMMITTED; DISTRIBUTED	VEHICLE A: \$250K VEHICLE B: 0 SECURITIES: \$1.750M FIXED INCOME: \$3M	VEHICLE A: 5% VEHICLE B: 0 SECURITIES: 35% FIXED INCOME: 60%	VEHICLE A: \$125K VEHICLE B: 0 SECURITIES: \$1.875M FIXED INCOME: \$3M	VEHICLE A: 2.5% VEHICLE B: 0 SECURITIES: 37.55% FIXED INCOME: 60%

32

34



Fig. 3

FUND MANAGEMENT DATABASE 40

FUND IDENTIFIER	FUND INFORMATION	FUND FINANCIAL INFORMATION	RISK ASSESSMENT	FUND PAYOUT EVENTS
FUND A	NAME OF COMPANIES BUSINESS OF COMPANIES OFFICERS OF COMPANIES FINANCIAL INFORMATION RE. COMPANIES	FAIR MARKET VALUE INVESTED FUNDS COMMITTED FUNDS	INVESTMENT ANALYST RISK ASSESSMENT: HIGH	COMPANY EVENT COMPANY PAYOUT
FUND B	NAME OF COMPANY BUSINESS OF COMPANY OFFICERS OF COMPANY FINANCIAL INFORMATION RE. COMPANY	FAIR MARKET VALUE INVESTED FUNDS COMMITTED FUNDS	INVESTMENT ANALYST RISK ASSESSMENT: HIGH	COMPANY EVENT COMPANY PAYOUT

42

44

40A

40B

40C

40D

40E

Fig. 4

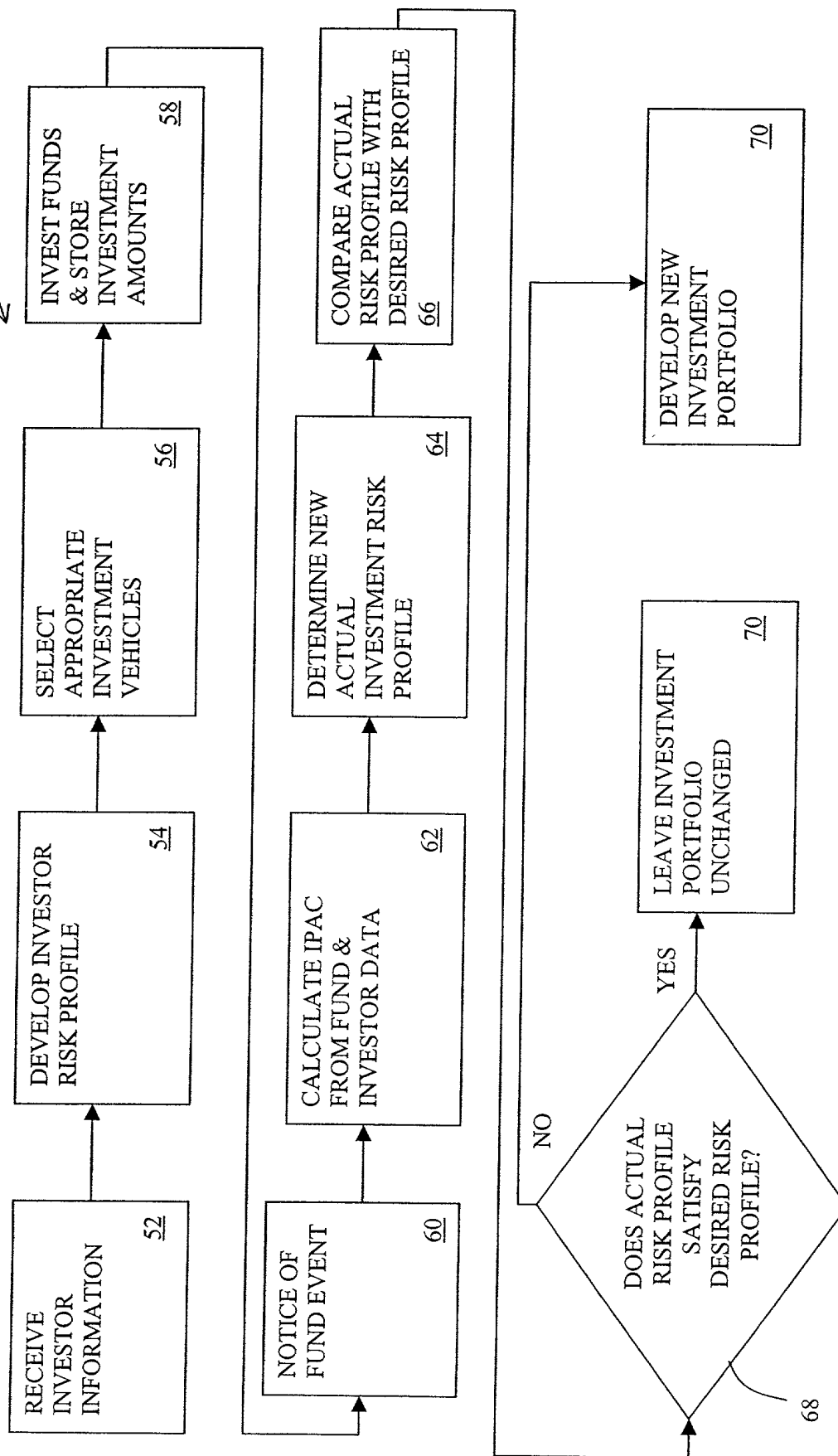


Fig. 5